



[FINRA](#), the Financial Industry Regulatory Authority, is charged with ensuring fair financial markets for American investors. The nongovernmental Financial Industry Regulatory Authority (FINRA) is a self-regulatory organization (SRO) that oversees the securities industry in the United States. It is a not-for-profit organization that is subject to oversight by the U.S. Securities and Exchange Commission (SEC). FINRA is the largest SRO in the United States, with over 4,000 member firms and over 1 million investors. It is responsible for regulating the securities industry and protecting investors. FINRA's mission is to ensure the integrity, transparency, and efficiency of the securities markets. It does this by writing rules, examining firms, and enforcing compliance with its rules and federal securities laws. FINRA also registers broker-dealer personnel and offers them education and training, and informs the investing public. FINRA provides surveillance and other regulatory services for equities and options markets, as well as trade reporting and other industry utilities.

FINRA is not-for-profit organization dedicated to investor protection and market integrity. It regulates one critical part of the securities industry—brokerage firms doing business with the public in the United States. FINRA, overseen by the SEC, writes rules, examines for, and enforces compliance with FINRA rules and federal securities laws, registers broker-dealer personnel and offers them education and training, and informs the investing public. FINRA provides surveillance and other regulatory services for equities and options markets, as well as trade reporting and other industry utilities.



Synopsys helps development teams build secure, high-quality software, minimizing risks while maximizing speed and productivity. Synopsys, a recognized leader in application security, provides static analysis, software composition analysis, and dynamic analysis solutions that enable teams to quickly find and fix vulnerabilities and defects in proprietary code, open source components, and application behavior.

For more information about the Synopsys Software Integrity Group, visit us online at www.synopsys.com/software.

Synopsys, Inc.
185 Berry Street, Suite 6500
San Francisco, CA 94107 USA

U.S. Sales: 800.873.8193
International Sales: +1 415.321.5237
Email: sig-info@synopsys.com